Dr. B. R. Ambedkar Open University  
Prof. G. Ram Reddy Marg, Road No. 46, Jubilee Hills,  
Hyderabad (TELANGANA) – 500 033

M.Phil. & Ph.D. Programmes  
Prospectus-cum-Application 2018-19  
(As per UGC Regulations 2016)

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UNIVERSITY AT YOUR DOORSTEP
F.No. 2-1/2017 (DEB-III)

The Registrar
Dr. B.R. Ambedkar Open University
Prof. G. Ram Reddy Marg
Road No. 46, Jubilee Hills
Hyderabad-500 033

Date: March, 2017

Sub: Permission to start M.Phil/Ph.D programmes under Regular Mode as per UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D Degrees) Regulations, 2016- reg.

Sir,

This is with reference to your letter No.700/Estt./A.IV/UGC/2016-17 dated 21.02.2017 submitting therewith a duly notarised affidavit dated 21.02.2017 signed by Prof. C. Venkataiah, Registrar to the effect that University will strictly follow UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D Degrees) Regulations 2016 and will abide by all its clauses in toto.

In this context, I am directed to convey the approval of UGC to start M.Phil/Ph.D programme under Regular/Part-Time mode by the University subject to the condition that the essential clauses of UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D Degrees) Regulations, 2016 (Copy enclosed) pertaining to eligibility criteria for admission to M.Phil/Ph.D programme, duration of programme, procedure for admission, allocation of Research Supervisor, Course Work, Research Advisory Committee, Evaluation and Assessment Methods and Depository with INFLIBNET must be followed in letter and spirit. It may be noted that as per Clause 11 of the Regulations, no university is permitted to conduct M.Phil/Ph.D programmes through distance education mode.

In case if there is any deviation in implementing the clauses of UGC Regulations, 2016, the permission would be deemed to be treated as withdrawn. Above permission is subject to the condition that Act/Statute/Ordinance /Rule of the University provide for the same.

This is issued with the approval of competent authority.

Yours faithfully,

(Megha Kaushik)
Education Officer
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1. **UNIVERSITY**

Dr. B. R. Ambedkar Open University (Dr. BRAOU), formerly known as Andhra Pradesh Open University, is the FIRST open university in India. It was established by an Act of A.P. State Legislature in 1982. Its **primary objective is to provide opportunities for higher education to all sections of society and to cater to the changing individual and societal needs.** The motto of the University is: **EDUCATION FOR ALL.** The university offers various UG & PG programmes through the Faculties of Arts, Commerce, Education, Sciences and Social Sciences with an annual enrolment of over one-lakh students. The headquarters of the University, which is operating through a wide network of 23 Regional Coordination Centres and more than 200 Study Centres spread over the States of Telangana and Andhra Pradesh, is located in Hyderabad.

**The objectives of the university are:**

- To provide educational opportunities to those students who could not take advantage of conventional institutions of higher learning.
- To provide equality of higher educational opportunities through distance mode for a large segment of the population, including those in employment, women (including house-wives) and adults who wish to upgrade their education or acquire knowledge and studies in various fields.
- To provide flexibility with regard to eligibility for enrolment, age of entry, choice of courses, methods of learning, conduct of examinations and operation of the programmes.
- To complement the programmes of the existing universities in the field of higher learning so as to maintain the highest standards on par with the best universities in the country.
- To offer degree programmes and non-degree certificate courses for the benefit of the working population in various fields and for the benefit of those who wish to enrich their lives by studying subjects of cultural and aesthetic values.
- To make provision for research and for the advancement and dissemination of knowledge.
- To serve as a source of continuing education, consultancy and to provide equal access to knowledge and higher education.

2. **ACADEMIC BRANCH**

In order to fulfill and achieve the above objectives, the university has created a separate branch viz., Academic Branch that is headed by a Director. The branch consists of five faculties viz., Faculty of Arts, Faculty of Commerce, Faculty of Education, Faculty of Sciences and Faculty of Social Sciences. The faculty members plan, design, develop and maintain various academic programmes of the university according to the needs and requirements of the students and the job-market.

3. **COURSE DELIVERY**

The university adopts a multi-media approach for instruction by:

- supplying printed course material prepared by experts in the field;
- arranging contact-cum-counseling classes;
- broadcasting radio lessons;
- telecasting video lessons;
- teleconference programmes; and
- making available audio and video lessons;
4. DEGREE OF MASTER OF PHILOSOPHY (M.PHIL.) PROGRAMME

4.0 Departments offering M.Phil. Programme

The university offers research programmes leading to the award of Degree of Master of Philosophy (M.Phil.) in the following disciplines:

**Faculty of Arts**
- 1. English
- 2. Hindi
- 3. Telugu

**Faculty of Commerce**
- 1. Business Management
- 2. Commerce

**Faculty of Education**
- 1. Education

**Faculty of Sciences**
- 1. Chemistry
- 2. Environmental Science
- 3. Mathematics
- 4. Physics

**Faculty of Social Sciences**
- 1. Economics
- 2. History
- 3. Library & Information Science
- 4. Political Science
- 5. Public Administration
- 6. Sociology

4.1 Eligibility for Admission into M.Phil. Programme

A candidate should possess a Master’s Degree with a minimum of 55% (50% in the case of SC/ST/PH candidates) in the subject concerned or in any allied subject from a recognized university.

4.2 Admission Procedure

i) Admission into the M.Phil. programme shall be made through a written test. The written test shall consist of two papers, one in the Research Methodology and another in the subject in which the candidate seeks admission into the M.Phil. programme. The duration of the examination is 1 hour for each paper. Each paper shall carry 40 marks. The qualifying marks in the written test are 40% (35% in the case of SC/ST/PH candidates).

ii) JRF / NET / SLET / GATE / UGC / CSIR / ICAR / ACMR / DBT or any Test conducted by a national level organization (fellowsips such as DST-INSPIRE or RGNF, if awarded as per rules of UGC or Teacher Fellowship applicants subject to clearance by the concerned authorities qualified applicants shall be exempted from the written test, provided they register with the University for the programme by paying the prescribed fee. However, they have to appear for interview.

iii) Those who qualify at the written test and/or in JRF / NET / SLET / GATE etc. shall be called for an interview, which carries 20 marks, where the candidates will be tested in analytical abilities, research aptitude, understanding of the subject and communication skills. The candidates should secure a minimum of 40% in the interview (35% in the case of SC/ST/PH candidates) to qualify for admission.
iv) The candidates who qualify in both written test and interview shall be considered for admission into the M.Phil. programme of the subject concerned based on the availability of seats and the rule of reservation of the state government.

v) The maximum number of seats in each Department is 12.

vi) Admissions shall be made once a year.

4.3 Duration of the Programme

i) The minimum period of study for M.Phil. shall be two years from the date of admission, out of which one semester is allotted for the coursework and remaining period is for the preparation of the Dissertation.

ii) The maximum period allowed is three years from the date of admission.

iii) The candidates who could not complete the programme within the stipulated period, may be given an extension of not more than one year, if warranted by the merits of the case.

iv) The candidate who could not complete the programme within the said period shall have to seek extension for the programme, which will be valid for one year only on the payment of prescribed fee of Rs.10,000/- (Rupees Ten Thousand only).

v) The women candidates and Persons with disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil./Ph.D. upto 240 days.

4.4 Medium

The medium of instruction and examination for M.Phil. entrance test, coursework and dissertation shall be in English. In case of languages, the medium shall be in the language concerned.

4.5 Fee Particulars/Payment of Fee

- The candidate has to pay an amount of Rs 10,000 (Rupees Ten Thousand only) per annum for two years for M.Phil. towards the tuition fee. An amount of Rs.3,000 (Rupees Three Thousand only) shall be paid towards admission fee for the programme. In addition to this, the Science students having practical component have to bear the cost of consumables/field work/hiring of equipment etc.

- The candidate who could not submit the Dissertation within the minimum period of two years for M.Phil. has to pay at the rate of Rs.3,000/- for the remaining period prescribed to continue M.Phil. programmes.

- Candidates who could not submit the Dissertation within the maximum period prescribed will be allowed an extension of one more year on the payment of Rs.10,000/- (Rupees Ten Thousand only).

- Candidates have to pay an amount of Rs.10,000/- (Rupees Ten Thousand only) as adjudication fee at the time of submission of Dissertation.

- The fee is subject to revision from time to time.
4.6 Study Schedule
i) The M.Phil. programme consists of two parts:
   Part-I: Course Work and Part-II: Dissertation
ii) The course work involves the study of two courses viz.,
    a) Research Methodology; and b) Broad Field of Research
iii) The course work shall be organized over a semester consisting of 60
     hours in each of the two papers/courses viz., Research Methodology and
     Broad Field of Research.
iv) For the completion of the programme, the candidate is required to submit
    a Dissertation within the stipulated period.

4.7 Teaching Methods
i. Multi-media teaching methods are used for instruction and for research
   guidance.
ii. The university will organize Personal Contact Programmes (PCP) for a
    period of one semester for course work. Attending PCP is mandatory.

4.8 Course Work Examination
The examination of M.Phil. programme shall consist of two parts:
Part-I: Examination in the course work; Part-II: Adjudication of the
dissertation.
i) The Part - I examination shall consist of two courses;
   a) Research Methodology; and b) Broad Field of Research.
ii) Research Methodology course is common to all the candidates of the
    subject concerned. Broad field of research implies the subject in which
    the candidate proposes to do the research work for M.Phil. Degree
iii) The examination of each paper shall be of 3 hours duration and carries
     100 marks. The pass marks shall be 50% in each paper.
iv) The candidate shall be allowed two chances to complete the Part - I
     (course work) examination.
v) The Part - I examination shall be conducted within one year from the
    date of admission of the candidate into the programme.

4.9 Submission of Dissertation
i) The candidate is allowed to submit his / her Dissertation only after
    passing Part - I examination.
ii) The candidate is required to present seminars from time to time on the
    progress of the research work before pre-submission seminar. The final
    presentation (pre-submission seminar) on the research work shall be
    before submitting the Dissertation.
iii) The candidate should produce a draft copy of dissertation as an evidence
    of completion of the work before the Pre-submission Seminar
    Committee for arranging pre-submission seminar.
iv) The candidate, while submitting the Dissertation, has to take care of the
    following points (check list):
    a) Six copies of hard-bound computer typed Dissertation along with
       soft copy;
    b) Four copies of the abstract of the Dissertation;
    c) Receipt showing that the prescribed fee has been paid;
    d) ‘No Dues Certificate’ from the Library and Academic Branch;
e) A copy of the Memorandum of Marks of the Part-I examination;
f) A certificate from the Supervisor to the effect that the Dissertation is based on the original work done by the candidate under his / her supervision;
g) A declaration from the candidate to the effect that the Dissertation does not constitute part of any Dissertation / Monograph submitted for any Degree or Diploma of this University or any other university/institute.

4.10 Viva-Voce Examination
After receiving the reports from the adjudicators, the Dean of the faculty concerned would conduct the open viva-voce examination.

4.11 Revision/Resubmission of the Dissertation
i) A candidate whose Dissertation is recommended for revision may be permitted to resubmit the revised Dissertation within Three months of such recommendation.
ii) The comments and suggestions of the adjudicators for revision shall be communicated by the Controller of Examinations to the candidate, through Dean, HOD concerned and the Supervisor.
iii) No re-submission shall be permitted after a lapse of one year.
iv) The candidate has to pay a fee of Rs. 5,000/- (Rupees Five Thousand only) at the time of re-submitting the Dissertation.

4.12 Cancellation of Registration
i) The registration of an M.Phil. candidate stands cancelled if the Chairperson, RPC accepts the application for cancellation submitted by the candidate through proper channel.
ii) The Chairperson, RPC may cancel the registration of a scholar on the recommendation of or after consulting the supervisor if the progress of his / her research work is unsatisfactory.
iii) The registration stands cancelled if the candidates does not pass the written test of Part - I, after availing the prescribed number of chances (TWO) or does not submit the dissertation within the prescribed period.
iv) The registration stands cancelled if the candidate’s revised Dissertation is rejected by the adjudicators.
v) The registration of a research scholar stands cancelled any time for reasons of proven misbehavior/misconduct.

4.13 Award of Degree
Dr. B. R. Ambedkar Open University shall award the M.Phil. degree to all the eligible candidates only after successful completion of course work, approval of the dissertation by the adjudicators and conduct of viva-voce examination by the faculty concerned.

4.14 Depository with UGC
Following the successful completion of the evaluation process and announcement of the award of M.Phil. degree, the university submits a soft copy of the M.Phil. dissertation to the UGC within a period of thirty days, for hosting the same in INFLIBNET, accessible to all institutions / universities.
5. DEGREE OF DOCTOR OF PHILOSOPHY (PH.D.) PROGRAMME

5.0 Departments offering Ph.D. Programme
The university offers research programmes leading to the award of Degree of Doctor of Philosophy (Ph.D.) in the following disciplines:

- **Faculty of Arts**: 1. English  
  2. Hindi  
  3. Telugu

- **Faculty of Commerce**: 1. Business Management  
  2. Commerce

- **Faculty of Education**: 1. Education

- **Faculty of Sciences**: 1. Chemistry  
  2. Environmental Science  
  3. Mathematics  
  4. Physics

- **Faculty of Social Sciences**: 1. Economics  
  2. History  
  3. Library & Information Science  
  4. Political Science  
  5. Public Administration  
  6. Sociology

5.1 Eligibility for Admission into Ph.D. Programme
A candidate should possess a Master’s Degree with a minimum of 55% marks (50% in the case of SC/ST/PH candidates) in the subject concerned or in any allied subject from a recognized university.

OR
A candidate should have passed in UGC-CSIR / JRF / NET / SLET / GATE / M.Phil.

In all the Faculties the eligibility criterion for registration/admission into Ph.D. programme in a subject is:

The candidates must have obtained a Post Graduate Degree from a recognized University in the concerned subject concerned or in an allied subject approved by the concerned University, securing not less that 55% marks or equivalent CGPA at the Post Graduate Degree (not less than 50% marks or equivalent CGPA in the case of SC/ST/PH candidates).

OR
The candidates must have been awarded a research fellowship, in the concerned subject, either through a national level test conducted by national level organizations such as UGC / CSIR / ICAR / ICMR / DBT or by a national level organization (fellowships such as DST-INSPIRE or RGNF, if awarded as per rules of UGC) or Teacher Fellowship applicants subject to clearance by the concerned authorities.

OR
Must have qualified in the UGC-NET / CSIR-NET / SET-TS/AP / JEST / GATE / GPAT Examination, in the concerned subject.

OR

Must have obtained M.Phil. Degree in the subject concerned.

A candidate, satisfying one or more of the eligibility criteria as per the rules is not guaranteed admission into Ph.D. programme. The Ph.D. admission is subject to the availability of vacancies with the Research Supervisor(s) in the concerned department, candidate’s academic record and the performance of the candidate in the Ph.D. admission interview.

5.2 Admission Procedure

i) Admission into the Ph.D. programme shall be made through a written test. The written test shall consist of two papers of one hour duration in the subject in which the candidate seeks admission into the Ph.D. programme and shall carry 80 marks. The qualifying marks in the written test are 40% (35% in the case of SC/ST/PH candidates).

ii) JRF / NET / SLET / GATE/M.Phil. qualified applicants shall be exempted from the written test provided they register with the University for the Programme by paying the prescribed fee. However they have to appear for interview.

iii) Those who qualify at the written test and/or the applicants qualified in JRF / NET / SLET / GATE / M.Phil. shall be called for an interview, which carries 20 marks, where the candidates will be tested in analytical abilities, research aptitude, understanding of the subject and communication skills. The candidates should secure a minimum of 40% (35 % in the case of SC/ST/PH candidates) in interview to qualify for admission.

iv) At the time of interview, the candidate has to submit a topic along with the research proposal/research design.

v) The candidates who qualify in both written test and interview shall be considered for admission into the Ph.D. programme of the subject concerned based on the availability of seats and the rule of reservation of the state government.

vi) Admissions into the Ph.D. programme shall be made once a year.

5.3 Duration of the Programme

i. The minimum period of study for Ph.D. shall be three years from the date of admission, out of which one semester is allotted to the course work and remaining period is for writing the thesis. However, for the candidates admitted with M.Phil. degree, the minimum period for submission of thesis is two years only.

ii. The maximum period allowed is five years in both the cases.

iii. The candidate who could not complete the programme within the stipulated period, may be given an extension of not more than one year, if warranted by the merits of the case.

iv. The women candidates and Persons with disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D in the maximum duration. In addition, the women candidates may be provided
Maternity Leave/Child Care Leave once in the entire duration of M.Phil./Ph.D. for up to 240 days.

5.4 **Medium**
The medium of instruction and examination for Ph.D. entrance test, Pre-Ph.D. and for writing the Thesis, shall be English. In case of languages, the medium of instruction and other activities shall be the language concerned.

5.5 **Fee Particulars/Payment of Fee**
- The candidate has to pay an amount of **Rs.15,000 (Rupees Fifteen Thousand only)** per annum for three years for Ph.D. towards the tuition fee. An amount of Rs.3,000 **(Rupees Three Thousand only) shall be paid towards admission fee** for the programme. In addition to this, the Science students having practical component have to bear the cost of consumables/field work/hiring of equipment etc.
- The candidate who could not submit the Thesis within the minimum period of three years has to pay an amount of Rs. 5,000/- for the remaining period of the programmes.
- Candidates who could not submit the Thesis within the maximum period prescribed will be allowed an extension of **one more year** on the payment of Rs.10,000/- (Rupees Ten Thousand only).
- Candidates have to pay Rs. 15,000 (Rupees Fifteen Thousand only) as adjudication fee at the time of submission of Thesis.

- **The fee is subject to revision from time to time.**

5.6 **Study Schedule/Programme of Study**
- i) The Ph.D. programme of study shall consist of two parts:
  - Part-I: Course Work i.e. Pre-Ph.D. and Part-II: Thesis;
- ii) The course work involves the study of two courses viz.,
  - a) Research Methodology and b) Narrow Field of Research.
- iii) The course work shall be organized over a semester consisting of 60 hours in each of the two papers/courses viz., Research Methodology and Broad Field of Research.
- iv) Part-I (Pre-Ph.D.) examination shall be conducted after the course work.
- v) All the candidates admitted into Ph.D. programme shall have to appear and pass the Pre-Ph.D. examination. However, the M.Phil. degree holders are exempted from Part-I (Pre-Ph.D.) course work and examination.
- vi) After passing the Pre-Ph.D. examination, every scholar has to make a seminar presentation on the research topic highlighting relevance of the subject/theme, topic, methodology proposed and contribution to the domain of knowledge etc.
- vii) The DRC undertakes periodic review of research and seminar presentation of the candidates and suggests changes/improvements to strengthen the research.
- viii) Every Ph.D. candidate should submit to the Dean concerned his/her research progress report duly signed by the research supervisor and HOD once in every six months.
- ix) Every Ph.D. candidate should present research design, progress and pre-submission seminars. (THREE SEMINARS IN ALL)
x) At least two research paper in the area of study of Ph.D. should be published in peer reviewed/refereed Journal before the pre-submission seminar.

5.7 Teaching Methods
i) Multi-media teaching methods are used for instruction and for research guidance.
ii) The university will organize Personal Contact Programmes (PCP) for a period of one semester for course work. Attending this PCP is mandatory.

5.8 Course Work Examination
i) The examination of Ph.D. programme shall consist of two parts: Part - I: Examination of the course work; and Part -II: Adjudication of the Thesis.
ii) The Part - I (Pre-Ph.D.) examination shall consist of two courses.
   a) Research Methodology; and b) Narrow Field of Research
iii) Research Methodology course is common to all the candidates of the subject concerned. Narrow Field of Research implies the detailed area of the research topic in which the candidate proposes to do the research work for Ph.D.
iv) The examination of each paper shall be of 3 hours duration and carries 100 marks. The pass marks shall be 50 per cent in each paper.
v) The Pre-Ph.D. examination shall be conducted within one year from the date of admission.
vi) The candidate shall be allowed two chances to complete the Part - I (Pre-Ph.D.) examination.

5.9 Submission of Thesis
i) The candidate is allowed to submit his/her Thesis only after passing Pre-Ph.D. examination. The Thesis for Ph.D. degree must be a significant contribution to the knowledge in the subject and bear the evidence of originality and scholarship.
ii) The candidate is required to give seminars from time to time before making a final presentation (i.e. pre-submission seminar) on the progress of the research work. The final presentation (pre-submission seminar) on the research work shall be before submitting the Thesis.
iii) The candidate should produce a final draft copy of the Thesis as an evidence of completion of the work before the Pre-submission Seminar Committee.
iv) The candidate, while submitting the Thesis, has to take care of the following points (check list):
   a) Six copies of hard-bound computer typed Thesis along with soft copy;
   b) Ten copies of the abstract of the Thesis;
   c) Receipt showing that the prescribed fee has been paid;
   d) ‘No Dues Certificate’ from the Library and Academic Branch;
   e) Copy of the Memorandum of Marks of the Part-I Examination/M.Phil. Certificate
f) A certificate from the supervisor to the effect that the thesis is based on the original work done by the candidate under his /her supervision;

g) A declaration from the candidate to the effect that the Thesis does not constitute part of any Thesis/Dissertation/Monograph submitted for any degree or diploma of this or any other university /institute.

5.10 Viva-Voce Examination

After receiving the reports from the adjudicators, the Dean of the faculty concerned would conduct the open viva - voce examination.

5.11 Revision / Re-submission of the Thesis

i) The candidate has to thoroughly revise and resubmit the Thesis
   • if all the three adjudicators recommend revision
   \[ OR \]
   • if two of the three adjudicators recommend revision
   \[ OR \]
   • if one adjudicator recommends for the award, the second recommends revision and the third recommends rejection.

ii) The Thesis of the candidate will be rejected
   • if all the three adjudicators unanimously recommend the rejection of the thesis
   \[ OR \]
   • if two of the three adjudicators recommend rejection of the Thesis.

iii) A candidate whose Thesis is recommended for revision may be permitted to resubmit the revised Thesis within six months.

iv) The comments and suggestions of the adjudicators for revision shall be communicated by the Controller of Examinations to the candidate, through the Dean, HOD concerned and Supervisor.

v) No re-submission shall be permitted after a lapse of one year.

vi) The candidate shall have to pay the prescribed fee of Rs. 10,000/- (Rupees Ten Thousand only) for resubmission of the Thesis.

5.12 Cancellation of Registration

i) The registration of a Ph.D. scholar stands cancelled if the chairperson, RPC accepts the application for cancellation submitted by the candidate through proper channel.

ii) The chairperson, RPC may cancel the registration of a Ph.D. scholar on the recommendation of or after consulting the supervisor if the progress of his / her research work is unsatisfactory.

iii) The registration stands cancelled if the candidate does not pass the Pre-Ph.D. examination after availing the prescribed number of chances (TWO) given to him / her or does not submit the Thesis within the prescribed period.

iv) The registration stands cancelled if the candidate’s revised Thesis is rejected by the adjudicator.

v) The registration of a research scholar stands cancelled any time for reasons of proven misbehavior/misconduct.
5.13 **Award of the Degree**
Dr. B. R. Ambedkar Open University shall award the Ph.D. degree to all the eligible candidates only after successful completion of course work, approval of the Thesis by the adjudicators and conduct of viva-voce examination by the faculty concerned.

5.14 **Depository with UGC**
Following the successful completion of the evaluation process and announcement of the award of Ph.D. Degree, the university submits a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for hosting the same in INFLIBNET, accessible to all institutions / universities.

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